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Attorneys for Creditor  
A.J. EXCAVATION INC.

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

**In Re**  
**PG&E CORPORATION**  
**and**  
**PACIFIC GAS AND ELECTRIC**  
**COMPANY,**  
Debtors.

Bankruptcy Cases  
19-30088-DM (Lead Case)  
19-30089-DM

(Jointly Administered)

**A.J. EXCAVATION INC.'S NOTICE OF  
PERFECTION OF LIEN**

(11 U.S.C. §§ 362, 546(b))

☐ Affects PG&E Corporation  
☐ Affects Pacific Gas and Electric  
Corporation  
☒ Affects both Debtors

\*\*All papers shall be filed in the Lead Case  
No. 19-30088 DM

Creditor A.J. EXCAVATION INC., ("AJ"), by and through its undersigned counsel, hereby  
files this notice of perfection, maintenance, and continuation of perfection of its mechanics' lien  
against the Debtors, PG&E Corporation and Pacific Gas and Electric Company ("Debtors") pursuant  
to 11 U.S.C. sections 362(b)(3) and 546(b) ("Notice"). In support of this Notice, AJ represents the  
following:

1. AJ is organized as a California corporation, in the business of performing earthwork,  
grading, paving, foundation, fencing, excavation, maintenance and/or other related construction

1 services, with its principal place of business at 514 North Brawley Avenue, Fresno, California 93706.

2 2. Debtor Pacific Gas & Electric Company (hereinafter "PG&E") contracted AJ to  
3 provide earthwork, grading, paving, foundation, fencing, excavation, maintenance, and/or other  
4 related construction services for the improvement of certain real property owed, or reputed to be  
5 owned, by PG&E, as provided more fully in the prepetition contract (Long Form) (hereinafter  
6 "Contract") . The real property is located at the following address, along with the contract number,  
7 more commonly known as:

8 **Semitropic Substation**  
9 **1920 Paso Robles Hwy, Wasco, CA 93657**  
10 **Contract #C11839**

(hereinafter "Real Property").

11 3. Prior to the bankruptcy petition, AJ furnished such labor, materials, equipment, and/or  
12 supervision for the earthwork, grading, paving, foundation, fencing, excavation, and other related  
13 construction services for the improvement of the Real Property in accordance with the scope of work  
14 contained in the Contract, and as amended by applicable work change orders.

15 4. The principal sum, exclusive of interest and other charges, that is currently due and  
16 owing to AJ for the labor and materials provided to the PG&E pursuant to the Contract is:

17 **\$34,350.00.**

18 5. Bankruptcy Code, 11 U.S.C. section 362(b)(3), provides that:

19 The filing of a petition under section 301, 302, or 303 of this  
20 title...does not operate a stay... under subsection (a) of this section,  
21 of any act to perfect, or to maintain or continue the perfection of, an  
22 interest in property to the extent that the trustee's rights and powers  
23 are subject to perfection under Section 546(b) of this title or to the  
24 extent that such act is accomplished within the period provide under  
25 section 547(e)(2)(A) of this title. 11 U.S.C. § 362(b)(3).

26 6. Bankruptcy Code, 11 U.S.C. section 546(b), provides that:

27 (1) The rights and powers of a trustee under sections 544, 545, and  
28 549 of this title are subject to any generally applicable law that-

(A) permits perfection of an interest in property to be  
effective against an entity that acquires rights in such property  
before the date of perfection; or

(B) provides for the maintenance or continuation of perfection  
of an interest in property to be effective against an entity that

1 acquire rights in such property before the date on which  
2 action is taken to effect such maintenance or continuation.

(2) If –

3 (A) a law described in paragraph (1) requires seizure of such  
4 property or commencement of an action to accomplish such  
5 perfection, or maintenance or continuation of perfection of an  
6 interest in property; and

7 (B) such property has not been seized or such an action has  
8 not been commenced before the date of the filing of the  
9 petition;

10 such interest in such property shall be perfected, or perfection of such  
11 interest shall be maintained or continued, by giving notice within the  
12 time fixed by such law for such seizure or such commencement. 11  
13 U.S.C. § 546(b).

14 7. Pursuant to California Mechanic's Lien law, a claimant must commence an action to  
15 enforce a lien within 90 days after recordation of the claim of lien. Cal. Civ. Code § 8460(a). Because  
16 the Debtors filed their Chapter 11 petition for bankruptcy on January 29, 2019, it created an automatic  
17 stay of all actions, including a claimant's action to enforce a lien. Thus, AJ was prevented from  
18 commencing an action to enforce its liens against the Debtors and perfect its mechanic's liens under  
19 the law.

20 8. Accordingly, AJ hereby gives this notice in lieu of the commencement of any action to  
21 perfect, maintain, or otherwise preserve its mechanics' liens pursuant to 11 U.S.C. § 546(b) and  
22 California Civil Code sections 8460(a), including the recording of a claim of lien, the commencement  
23 of action to enforce the Mechanics' Liens, the filing of a Pendency of Action, and/or the service of  
24 notice on purchasers of production on the Real Property ("Mechanics' Lien"). A true and correct copy  
25 of the Mechanics' Lien is attached hereto as Exhibit "A" and incorporated by reference.

26 9. AJ hereby gives notice it intends to enforce its rights under the Mechanics' Lien to the  
27 fullest extent allowed under the law. This Notice shall not be construed as an admission that such  
28 filing is required or to the necessity of recording, commencement, or seizure. In addition, AJ hereby  
gives notice that it has, or may be entitled to, additional mechanics' liens rights to properties owned  
by the Debtors as they become due and owing according to prepetition contracts with the Debtors for  
the improvement of real property. This Notice shall preserve and continue to preserve any and all of  
AJ's rights as to the Mechanics' Liens and Bankruptcy Code.

1           10.     AJ reserves the right to amend, supplement, or otherwise modify this Notice and  
2 reserves any and all rights entitled to it under the applicable law.

3  
4 Dated: March 7, 2019

McCORMICK, BARSTOW, SHEPPARD,  
WAYTE & CARRUTH LLP

5  
6  
7 By: /s/ H. Annie Duong  
8       David L. Emerzian  
9       H Annie Duong  
10      Attorneys for Creditor  
11      A.J. EXCAVATION INC.

1 **PROOF OF SERVICE**

2 **STATE OF CALIFORNIA, COUNTY OF FRESNO**

3 At the time of service, I was over 18 years of age and **not a party to this action**. I am  
4 employed in the County of Fresno, State of California. My business address is 7647 North Fresno  
Street, Fresno, CA 93720.

5 On March 7, 2019, I served true copies of the following document(s) described as **A.J.**  
6 **EXCAVATION, INC.'S NOTICE OF PERFECTION OF LIEN** on the interested parties in this  
action as directed by the Order Implementing Certain Notice and Case Management Procedures issued  
March 6, 2019.

7  
8 **BY ELECTRONIC FILING THROUGH CM/ECF PARTICIPANTS:** Based on the  
Order Implementing Certain Notice and Case Management Procedures, transmission of service  
9 through CM/ECF shall constitute effective service on that Registered Participant. My electronic  
service address service is dawn.houston@mccormickbarstow.com, and I caused the document(s) to be  
10 sent to the persons using the CM/ECF system. I did not receive, within a reasonable time after the  
transmission, any electronic message or other indication that the transmission was unsuccessful.

11 I declare under penalty of perjury under the laws of the State of California that the foregoing is  
true and correct.

12 Executed on March 7, 2019, at Fresno, California.

13  
14  
15 /s/ Dawn Houston  
Dawn M. Houston

# EXHIBIT A



## NOTICE OF MECHANICS' LIEN ATTENTION!

Upon the recording of the enclosed MECHANICS' LIEN with the county recorder's office of the county where the property is located, your property is subject to the filing of a legal action seeking a court-ordered foreclosure sale of the real property on which the lien has been recorded. That legal action must be filed with the court no later than 90 days after the date the mechanics' lien is recorded.

The party identified in the mechanics' lien may have provided labor or materials for improvements to your property and may not have been paid for those items. You are receiving this notice because it is a required step in filing a mechanics' lien foreclosure action against your property. The foreclosure action will seek a sale of your property in order to pay for unpaid labor, materials, or improvements provided to your property. This may affect your ability to borrow against, refinance, or sell the property until the mechanics' lien is released.

BECAUSE THE LIEN AFFECTS YOUR PROPERTY, YOU MAY WISH TO SPEAK WITH YOUR CONTRACTOR IMMEDIATELY, OR CONTACT AN ATTORNEY, OR FOR MORE INFORMATION ON MECHANICS' LIENS GO TO THE CONTRACTORS STATE LICENSE BOARD WEB SITE AT [www.cslb.ca.gov](http://www.cslb.ca.gov).

### PROOF OF SERVICE AFFIDAVIT

I am a citizen of the United States and employed in Fresno County, California. I am over the age of eighteen (18) years and not a party to the action. My business address is: 514 N. Brawley Ave Fresno CA 93706

On this date I served the foregoing MECHANICS' LIEN on the owners of the property: Pacific Gas & Electric 77 Beale St. San Francisco CA 94105  
(Name & Address of Owner)  
subject to the mechanics' lien:

☒ by placing a true copy thereof enclosed in a sealed envelope, first-class mail postage prepaid, evidenced by a certificate of mailing, to the owner at the owner's or reputed owner's residence or place of business address or at the address shown by the building permit on file with the authority issuing a building permit for the work, or as otherwise provided in Section 8174 of the California Civil Code.

☐ by personally delivering a true copy thereof to the person(s) at the address set forth below:

I declare under penalty of perjury under the laws of the State of California that foregoing is true and correct.

Executed on: 2-16-19

by: Alisa Emmett

(Your Name)

(SIGNATURE)



RECORDING REQUESTED BY

A.J. Excavation Inc.  
514 N. Brawley Avenue  
Fresno CA 93706

AND WHEN RECORDED MAIL TO:

A.J. Excavation Inc.  
514 N. Brawley Avenue  
Fresno CA 93706  
Semitropic:18-171:2700188649CNTR:C11839

SPACE ABOVE THIS LINE FOR RECORDER'S USE

**MECHANICS' LIEN**

The undersigned A.J. Excavation Inc.

[Name of person or firm claiming mechanics' lien. Contractors use name exactly as it appears on contractor's license]

Claimant claims a mechanics' lien upon the following described real property:

City of Wasco, County of Kern, California,  
Semitropic Substation

[General description of property where the work or materials were furnished. A street address is sufficient, but, if possible, use both street address and legal description.]

Tubular Steel Pole Installation and Soil Off-Haul

Invoice #2018-1040

PO#: 2700188649 Contract#: C11839 #18-171

The sum of \$ 34,350.00 together with interest thereon

[Amount of claim due and unpaid]

at the rate of 10% percent per annum from February 16th, 2019

[Date when balance became due]

is due claimant after deducting all just credits and offsets for the following work and materials furnished by claimant Provide & Install Foundations / Soil Removal

[Insert general description of work or materials furnished]

Claimant furnished the work and materials at the request of, or under contract with

Pacific Gas & Electric

[Name of person or firm who ordered or contracted for the work or materials]

The owners and reputed owners of the real property or leasehold interest are

Pacific Gas & Electric

[Insert name of owner of real property. This can be obtained from the County Recorder]

Firm Name A.J. Excavation Inc.

By: 

[Signature of claimant or authorized agent]

Alisa Emmett

[Printed name of claimant or authorized agent]

514 N. Brawley Avenue Fresno CA 93706

[Address of claimant or authorized agent]

**VERIFICATION**

I, the undersigned, say: I am the President

["President of," "Manager of," "A partner of," "Owner of," etc.]

the claimant of the foregoing mechanics' lien. I have read said claim of mechanics' lien and know the contents thereof; the same is true of my own knowledge.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on February 19th, 2019, at Fresno, California.

[Date of Signature]

[City Where Signed]

[Personal signature of the individual who is swearing that the contents of the claim of mechanics' lien are true.]

Alisa Emmett - President

[Printed name]



AJ Excavation Inc.

Fresno, CA 93706

O#559-408-5908

F #559-354-0639

# Invoice

Date	Invoice #
11/28/2018	2018-1040

Bill To
Pacific Gas & Electric P.O. BOX 7760 San Francisco, CA. 94120

P.O. No.	Terms	Project
2700188649		Semitropic T-Line Foundati...

Quantity	Description	Rate	Amount
	BILLING #1: Work performed at the Semi-Tropic Sub - TLine Project	34,350.00	34,350.00
		<b>Total</b>	\$34,350.00



## Contract (Long Form)

This is a Contract between the below named Contractor ("Contractor"), a California corporation, and Pacific Gas and Electric Company ("PG&E"), a California corporation with its headquarters located at 77 Beale Street, San Francisco, California 94105.

<b>Contractor's Legal Name:</b>	A.J. Excavation Inc.	<b>PG&amp;E Contract No. C11839</b>
<b>Contractor's Address:</b>	9662 W. Kearney Boulevard Fresno, CA 93706	<b>This Contract consists of 64 pages.</b>
<b>Project Name:</b>	Tubular Steel Pole Installation and Soil Off-Haul	
<b>Job Location:</b>	PG&E's Semitropic Substation – Wasco, CA	

**WORK:** Contractor shall, at its own risk and expense, perform the Work described in this Contract and furnish all labor, equipment, and materials necessary to complete the Work as summarized below and as more fully described in Attachment 1, Scope of Work. This is not an exclusive Contract. This Contract does not guarantee Contractor any Work nor is there any guarantee as to any volume or duration of Work.

Contractor shall provide and install one (1) Tubular Steel Pole Foundation Footing at PG&E's Semitropic Substation and off-haul all non-hazardous soils associated with this Work to a PG&E authorized disposal facility.

**ATTACHMENTS:** Each of the following documents is attached to this Contract and incorporated herein by this reference:

Attachment 1: Scope of Work, Pages 1 through 6

Attachment 2: General Conditions, Pages 1 through 49


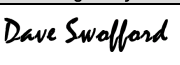
Attachment 3: Authorized Disposal and Recycling, Pages 1 through 7

<b>CONTRACT TERM:</b>	This Contract is effective upon signature by both parties and expires on 11/12/2018.
<b>COMPLETION:</b>	Contractor shall commence performance hereof when directed to do so by PG&E. Work shall be completed by the completion date of 11/12/2018. Time is of the essence.
<b>INSURANCE:</b>	Contractor shall maintain insurance in accordance with Section 25 of the General Conditions.
<b>TERMS OF PAYMENT:</b>	In accordance with Section 16 of the General Conditions.

**CONSIDERATION:** As full consideration for satisfactory performance of the Work by Contractor, PG&E's total obligation to Contractor shall not exceed the following amount. This amount is inclusive of all taxes incurred in the performance of the Work. Any change to this amount shall only be authorized in writing by a PG&E Contract Change Order, fully executed by both PG&E and Contractor.

**TOTAL:** Lump Sum Amount of \$36,600.00

**THE PARTIES, BY SIGNATURE OF THEIR AUTHORIZED REPRESENTATIVES, HEREBY AGREE TO THE TERMS OF THIS CONTRACT.**

PACIFIC GAS AND ELECTRIC COMPANY		CONTRACTOR: A.J. EXCAVATION INC.	
Signature		Signature	
Name	Janetta Tarter	Name	Dave Swofford
Title	Sourcing Supervisor	Title	VP Utility
Date	11/5/2018	Date	11/2/2018



PG&E Contract No. C11839  
Page 2 of 2

ADMINISTRATION			
<b>PG&amp;E Negotiator</b>	Mark Dolatre	<b>Contractor Representative</b>	Dave Swofford
<b>Phone</b>	(925) 459-6905	<b>Phone</b>	(559) 408-5908
<b>Email</b>	Mark.dolatre@pge.com	<b>Email</b>	dave@movendirt.com
<b>Accounting Reference</b>	74014442		
<b>PG&amp;E Work Supervisor:</b>	Todd Nordman	<b>Phone:</b>	(209) 481-7770
<b>INVOICE INSTRUCTIONS:</b> As described in more detail in the Invoicing section of the Terms and Conditions, Contractor shall send invoices for each payment when due, showing the Purchase Order Number (starts with "27" or "35") and the Line Item number, if applicable.	The default submission system for invoices to PACIFIC GAS AND ELECTRIC COMPANY should be through the Taulia electronic invoicing portal, which also provides real-time invoice payment status. In rare cases that it is infeasible for a supplier to use this system, please send paper invoices to the address below. Invoice payment status for paper invoices can be accessed through the automated PG&E Paid Help Line at (800) 756-PAID (7243) or by emailing <a href="mailto:APPaidline@pge.com">APPaidline@pge.com</a> .		
	<b>Send ORIGINAL Invoice to:</b>	PG&E Accounts Payable* PO Box 7760 San Francisco, CA 94120-7760	
	<b>Send COPY of Invoice to:</b>	Jennifer Brothers 6030 West Oaks Boulevard, Suite 300 Rocklin, CA 95765 or ETS&PSCcontractinvoicing@pge.com	

INTERNAL PG&E USE ONLY		
<b>Distribution Date</b>		
<b>Distribution of Copies</b>	<input type="checkbox"/> ARIBA Contracts ("CXXXX" series): Buyer uploads an executed copy in Ariba.	<input type="checkbox"/> Contractor (Signed Original Copy)
	<input type="checkbox"/> Work Supervisor	<input type="checkbox"/> Manager
	<input type="checkbox"/> Invoice Approver	<input type="checkbox"/> Supervisor
	<input type="checkbox"/> V.P.	<input type="checkbox"/> Sourcing/ Purchasing
	<input type="checkbox"/> Director	<input type="checkbox"/> Law

**Betty**

---

**From:** Jenkins, Jedadiah <JWJ0@pge.com>  
**Sent:** Wednesday, November 28, 2018 12:01 PM  
**To:** Dave Swofford  
**Cc:** alisa@movendirt.com; Betty  
**Subject:** Re: Semitropic Billing  
**Attachments:** image002.png; image004.png

Thanks dave  
Looks ok to me

Get [Outlook for iOS](#)

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**From:** Dave Swofford <dave@movendirt.com>  
**Sent:** Wednesday, November 28, 2018 10:33 AM  
**To:** Jenkins, Jedadiah  
**Cc:** alisa@movendirt.com; Betty  
**Subject:** Semitropic Billing

\*\*\*\*\***CAUTION: This email was sent from an EXTERNAL source. Think before clicking links or opening attachments.**\*\*\*\*\*

Hello Jed,

Please see our current billing for Semitropic TSP. With your approval we will invoice. The off-haul & break tests will be invoiced as soon as next week.

Thank you,

**Dave Swofford**  
**Vice President Utility**  
(559) 408-5908 Office  
(550) 709-9371 Mobile  
(559) 357-0639 FAX  
[dave@movendirt.com](mailto:dave@movendirt.com)  
[www.movendirt.com](http://www.movendirt.com)  
CPUC WBE #10080006

